IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Shinpei Nagatani

Serial No.:

10/813,613

Conf. No.:

4370

Filed:

March 30, 2004

For:

ILLUMINATION DEVICE AND

DISPLAY APPARATUS

INCLUDING THE SAME

Art Unit:

2629

Examiner:

Ma, Calvin

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This IDS is submitted under 37 C.F.R. 1.97(d), after the mail date of either a Final Office Action or a Notice of Allowance (whichever occurs first), with both:

- (a) a statement under 37 C.F.R. 1.97(e); and
- (b) the \$180.00 fee under 37 C.F.R. 1.17(p).

Applicant(s) submit herewith Form PTO-1449 (Information Disclosure Citation) together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 C.F.R. 1.56. Applicant(s) respectfully submit that the citation of any reference on Form PTO-1449 does not constitute an admission that the reference qualifies as prior art. Also enclosed is a copy of a European Search Report.

It is requested that the information disclosed on the enclosed Form PTO-1449 be made of record in this application.

Statement Under 37 C.F.R. 1.97(e):

- (X) The enclosed references were cited in a Communication issued by the Japanese Patent Office dated June 23, 2009. Applicant(s) hereby certify that each item of information cited on the enclosed Form PTO-1449 was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.
- () Applicant(s) hereby certify that no item of information cited on the enclosed Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information cited on the enclosed Form PTO-1449 was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement.

Enclosed is a check for \$180.00 to cover the fee as set forth in 37 C.F.R. 1.17(p).

The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. 1.16-1.17, or to credit any overpayment, to Deposit Account No. 07-2069.

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Respectfully submitted,

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